

# 3 27  
01-12-04

**PATENT APPLICATION**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**



here application of

Sachiko NISHIURA

Docket No: Q67062

Appln. No.: 09/986,192

Group Art Unit: 2614

Confirmation No.: 4888

Examiner: Unknown

Filed: November 07, 2001

For: **DIGITAL BROADCAST RECEIVING METHOD AND APPARATUS**

**INFORMATION DISCLOSURE STATEMENT**  
**UNDER 37 C.F.R. §§ 1.97 and 1.98**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**RECEIVED**  
JAN 12 2004  
TC 2600

**RECEIVED**  
JAN 09 2004  
Technology Center 2600

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO/SB/08 A & B (modified) form and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

One copy of each of the listed documents is submitted herewith.

1. Japanese Unexamined Patent Application Publication No. 2000-307528, published November 2, 2000.
2. Japanese Unexamined Patent Application Publication No. 2000-32362, published January 28, 2000.
3. Japanese Unexamined Patent Application Publication No. 11-136649, published May 21, 1999.

Sachiko NISHIURA

09/986,192

INFORMATION DISCLOSURE STATEMENT

4. Japanese Unexamined Patent Application Publication No. 11-313298, published November 9, 1999.

The present Information Disclosure Statement is being filed: (1) No later than three months from the application's filing date; (2) Before the mailing date of the first Office Action on the merits (whichever is later); or (3) Before the mailing date of the first Office Action after filing a request for continued examination (RCE) under § 1.114, and therefore, no Statement under 37 C.F.R. § 1.97(e) or fee under 37 C.F.R. § 1.17(p) is required.

In compliance with the concise explanation requirement under 37 C.F.R. § 1.98(a)(3) for foreign language documents, Applicant encloses herewith a copy of a corresponding Japanese Office Action dated December 10, 2003, and an English translation of the pertinent portions thereof, which cites such documents and indicates the degree of relevance found by the foreign patent office.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant does not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

Sachiko NISHIURA

09/986,192

INFORMATION DISCLOSURE STATEMENT

The USPTO is directed and authorized to charge all required fees, except for the Issue Fee and the Publication Fee, to Deposit Account No. 19-4880. Please also credit any overpayments to said Deposit Account. A duplicate copy of this paper is attached.

Respectfully submitted,

A handwritten signature in black ink, appearing to read "H. Bernstein", written over a horizontal line.

Howard L. Bernstein  
Registration No. 25,665

SUGHRUE MION, PLLC  
Telephone: (202) 293-7060  
Facsimile: (202) 293-7860

WASHINGTON OFFICE

23373

CUSTOMER NUMBER

Date: January 8, 2004

Q67062

10 December 2003

- Claims: 2 and 6
- Cited Literature: 1
- Remarks: Cited Literature 1 (especially paragraphs [0010] through [0011] and [0096] through [0098]) describes a digital broadcast reception means having the same constitution as Claims 2 and 6.

No reasons for rejection have been discovered as of now for inventions as per claims other than the claims indicated in this Notification of Reasons for Rejection. If any reasons for rejection are newly discovered, a notice of reasons for rejection will be issued.

#### List of Cited Literature

##### 1. Japanese Unexamined Patent Application Publication 2000-307528

- Prior Art Literature
  - Japanese Unexamined Patent Application Publication 2000-032362
  - Japanese Unexamined Patent Application Publication H11-136649
  - Japanese Unexamined Patent Application Publication H11-313298

Substitute for Form 1449 A &amp; B/PTO

# **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet

1

of

1

Complete if Known

|                        |                   |
|------------------------|-------------------|
| Application Number     | 09/986,192        |
| Confirmation Number    | 4888              |
| Filing Date            | November 07, 2001 |
| First Named Inventor   | Sachiko NISHIURA  |
| Art Unit               | 2614              |
| Examiner Name          | Unknown           |
| Attorney Docket Number | Q67062            |

## **U.S. PATENT DOCUMENTS**

| Examiner Initials* | Cite No. <sup>1</sup> | Document Number |                                      | Publication Date<br>MM-DD-YYYY | Name of Patentee or Applicant of Cited Document |
|--------------------|-----------------------|-----------------|--------------------------------------|--------------------------------|---|
|                    |                       | Number          | Kind Code <sup>2</sup><br>(if known) |                                |   |
|                    |                       | US              |                                      |                                |   |
|                    |                       | US              |                                      |                                |   |
|                    |                       | US              |                                      |                                |   |
|                    |                       | US              |                                      |                                |   |
|                    |                       | US              |                                      |                                |   |
|                    |                       | US              |                                      |                                |   |
|                    |                       | US              |                                      |                                |   |
|                    |                       | US              |                                      |                                |   |
|                    |                       | US              |                                      |                                |   |

RECEIVED

JAN 09 2004

Technology Center 2600

## **FOREIGN PATENT DOCUMENTS**

| Examiner Initials* | Cite No. <sup>1</sup> | Foreign Patent Document   |                     |                                      | Publication Date<br>MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Translation <sup>6</sup> |
|--------------------|-----------------------|---------------------------|---------------------|--------------------------------------|--------------------------------|---|--------------------------|
|                    |                       | Country Code <sup>3</sup> | Number <sup>4</sup> | Kind Code <sup>5</sup><br>(if known) |                                |   |                          |
|                    |                       | JP                        | 2000-307528         | A                                    | 11/02/2000                     |   |                          |
|                    |                       | JP                        | 2000-32362          | A                                    | 01/28/2000                     |   |                          |
|                    |                       | JP                        | 11-136649           | A                                    | 05/21/1999                     |   |                          |
|                    |                       | JP                        | 11-313298           | A                                    | 11/09/1999                     |   |                          |
|                    |                       |                           |                     |                                      |                                |   |                          |
|                    |                       |                           |                     |                                      |                                |   |                          |
|                    |                       |                           |                     |                                      |                                |   |                          |
|                    |                       |                           |                     |                                      |                                |   |                          |
|                    |                       |                           |                     |                                      |                                |   |                          |
|                    |                       |                           |                     |                                      |                                |   |                          |
|                    |                       |                           |                     |                                      |                                |   |                          |
|                    |                       |                           |                     |                                      |                                |   |                          |
|                    |                       |                           |                     |                                      |                                |   |                          |
|                    |                       |                           |                     |                                      |                                |   |                          |

## **NON PATENT LITERATURE DOCUMENTS**

| Examiner Initials* | Cite No. <sup>1</sup> | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published. | Translation <sup>6</sup> |
|--------------------|-----------------------|--|--------------------------|
|                    |                       |  |                          |
|                    |                       |  |                          |
|                    |                       |  |                          |
|                    |                       |  |                          |
|                    |                       |  |                          |

Examiner Signature

Date Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kind Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov), MPEP 901.04 or in the comment box of this document. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to indicate here if English language Translation is attached.